

STATE OF WISCONSIN BEFORE THE DEPARTMENT OF REGULATION AND LICENSING

IN THE MATTER OF THE APPLICATION FOR A REAL ESTATE SALESPERSON'S LICENSE

LAURIE A. BOWERS, APPLICANT. NOTICE OF DENIAL
OF APPLICANT'S
REQUEST FOR HEARING
AND ORDER
OR DER 100

L 59808193 REB

TO: Laurie A. Bowers P.O. Box 231 Westfield, WI 53964

PLEASE TAKE NOTICE THAT the applicant's request for a hearing on the Department of Regulation and Licensing's (hereinafter "Department") July 31, 1998, Notice of Denial of application for a Real Estate Salesperson's License in the state of Wisconsin is DENIED.

Based upon the record in this matter, the Department makes the following:

FINDINGS OF FACT

- 1. On or about July 1, 1998, Laurie A. Bowers submitted an application for a Real Estate Salesperson's License. A true and correct copy of Ms. Bowers' application file is attached to this document as Exhibit A. Exhibit A is incorporated by reference into this document.
- 2. In Ms. Bowers' original application materials, she indicated "Yes" in response to the following question:

Have you ever been convicted of a misdemeanor or a felony, or driving while intoxicated (DWI), in this or any other state, **OR** are criminal charges or DWI charges currently pending against you? If YES, complete and attach Form #2252.

- 3. A search of the records of the Crime Information Bureau (CIB) in the Department of Justice indicates a felony conviction.
- 4. On or about July 31, 1998, The Department of Regulation and Licensing denied Ms. Bowers' application for licensure based on its determination that Ms. Bowers had been convicted of a felony.
- 5. The findings set forth above are not contested in the applicant's August 13, 1998, request for hearing.

6. The applicant's August 13, 1998, request for hearing does not contain an allegation of any error of fact or of law for her claim that the denial of her application should be overturned.

CONCLUSIONS OF LAW

- 1. Based upon the application record and applicant's request for hearing, there exists no issue of material fact upon which a hearing is warranted.
- 2. Applicant's request for hearing does not contain the elements required by administrative code to mandate the grant of a hearing in this matter."
- 3. The applicant's criminal record, which includes a felony conviction, constitutes the denial of the issuance of a Real Estate Salesperson's License under section 452.03 of the Wisconsin statutes.

ORDER

- 1. Based upon the record in these proceedings, it is ordered that the applicant's request for hearing is **DENIED**, and no hearing shall be scheduled.
- 2. The application of Laurie A. Bowers for a Real Estate Salesperson's License is **DENIED**.
- 3. This document constitutes the Department's Final Decision and Order in this matter.

Dated this $\sqrt{9^{+4}}$ day of August, 1998.

DEPARTMENT OF REGULATION AND LICENSING

On Behalf of the Department

¹ Sec. 227.42, Stats., provides:

Right to hearing. (1) In addition to any other right provided by law, any person filing a written request with an agency for hearing shall have the right to a hearing which shall be treated as a contested case if:

- (a) A substantial interest of the person is injured in fact or threatened with injury by agency action or inaction;
- (b) There is no evidence of legislative intent that the interest is not to be protected;

- (c) The injury to the person requesting a hearing is different in kind or degree from injury to the general public caused by the agency action or inaction; and
- (d) There is a dispute of material fact.
- ii Wis. Admin. Code § RL 1.07 provides in pertinent part:

Request for Hearing. . . The request [for hearing] shall \dots set forth all of the following:

. . . .

(3) A specific description of the mistake in fact or law which constitutes reasonable grounds for reversing the decision to deny the application for a credential. If the applicant asserts that a mistake in fact was made, the request shall include a concise statement of the essential facts which the applicant intends to prove at the hearing. If the applicant asserts a mistake in law was made, the request shall include a statement of the law upon which the applicant relies.

Wis. Admin. Code § RL 1.08(1) provides in pertinent part:

A request shall be granted if requirements in s. RL 1.07 are met

EXHIBIT A INDEX

PAGE(S)	DOCUMENT
1-7	Application for Real Estate Salesperson's License, dated 7-1-98
8-9	Crime Information Bureau Record Check print-out re: Laurie A. Bowers
10-12	Notice of Denial, dated 7-31-98
13	Affidavit of Mailing, dated 7-31-98
14	Request for Hearing, dated 8-13-98

Department of Regulation & Licensing

State of Wisconsin

Information requested is required for processing.

P.O. Box 8935, Madison, WI 53708-8935

(608) 266-5511, Extension 43

TTY# (608) 267-2416 hearing or speech TRS# 1-800-947-3529 impaired only

BUREAU OF DIRECT LICENSING AND REAL ESTATE APPLICATION FOR NEW SALESPERSON OR BROKER LICENSE

FEE:

\$41.00

PAYABLE TO: Department of Regulation and Licensing

You may not operate as a real estate agent until you have actually received the license, which will be

issued within one week of RECEIPT of this properly completed form by the department. This application

2.	nust be submitted within	ONE YEAR following the date	you wrote and passed th	e examination.		
		OFFICE USE ONLY				
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	094 -	#13-Exam DATE: 6.24-98 NA: 10 ST: 15	#16-Prior License	#15/44-Business Name		
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]			

#809 (Rev. 11/97) Ch. 452, Stats.

Page 1 of 4

Application Addendum

CONVICTIONS AND PENDING CHARGES

[You must complete this form if you checked "Yes" in response to any of the application questions on convictions or pending charges.]

Your application states that you have been convicted of a crime, or that criminal charges are pending against you. The Fair Employment Act (sections 111.31 through 111.395 of the Wisconsin Statutes) prohibits employment discrimination on the basis of conviction record or arrest record unless the circumstances of the conviction or arrest "substantially relate to the circumstances of the particular job or licensed activity". The information requested in this form will be used to determine whether your application should be granted, approved with limitations, or denied. **This form must be signed and notarized.** The information you provide in this form may be verified against criminal information records, and an omission of information on this form will be considered a false statement on an application.

For questions, contact Marlene Maly, Division of Business Licensure & Regulation, at (608) 266-5511-Extension 32.

(Hearing or speech impaired only: TTY# (608) 267-2416; TRS# 1-800-947-3529).

Town of Condontint	Please Type or Print	t in Ink	
Type of Credential: Name: Louise A. Bouse Address: P. D. Box 231 Race*: white Sex*: Female *This information is necessary to check crim	Social Security	Number**: 38	3964 9 - 86-6149
1. List any other names you have ever Lourie A Schrank	rused, especially and (mouden	y names under which	ch you have been arrested:
Z. List all felonies, misdemeanors, trayou have ever been convicted, in the of no contest or a guilty plea or very (county and state) and the complete include municipal ordinance violate offenses involving alcohol or drug intoxicated (OWI, DUI, etc.). Attach	his or any other state dict. For each, list the e sentence received ations or traffic of the use, especially con	e, whether the conviction of the date of conviction (fine, imprisonment fenses, except: individual of the convictions for operations for operations.	viction resulted from a ple on, the location of the cour at, probation, etc.). Do no clude convictions for an
Offense NIA	Date	Location	Sentence
•			
B. Have you ever been sentenced by treatment, or counseling program?			or other drug assessment

#2252 (Rev. 10/97) Ch. 111, Stats.

-OVER-

State of Wisconsin Department of Regulation & Licensing

SE	CCTION C: BROKER APPLICANTS
	NCLOSE one of the following as proof of completion of education requirement.
1.	
	NON-RESIDENTS: a. Submit proof of completion of 3 designated hours at a Wisconsin-approved school. b. Submit proof of completion of at least 33 hours of education completed in your resident state. c. Submit copy of license from resident state. d. If you do not presently hold a Wisconsin sales license, also submit materials required of sales applicants.
2.	A transcript showing 20 academic semester-hour credits completed at an institution of higher learning in real estate or real estate-related law.
3.	A photocopy of current bar membership card or a certificate of good standing in the Wisconsin State Bar.
If	exam and the Wisconsin broker's exam (the state portion only of salesperson's exam if applicant has been licensed in another state at any time during the past 2 years). I have also enclosed evidence of having satisfied the salesperson's education requirement in Section B. you wish to register a trade name under which you intend to do business as an individual broker, enter that name.
If y	you will be a broker representative of a business entity (corporation, partnership, limited liability company) licensed to as a broker in Wisconsin, enter:
	a) Name of business entity: b) Your title:
	c) Business entity Wisconsin broker's license number:
If t Bu	the business entity is a new company which has not yet been licensed in Wisconsin, an Application For Real Estate siness Entity License (Form #815) and a \$41 fee must also be filed to obtain a license for the business entity.
bro cre	RUST ACCOUNT. You are not required to maintain a trust account before you receive monies in the capacity of a oker. However, real estate trust funds MUST BE DEPOSITED in a Wisconsin bank, savings and loan association, or edit union within 48 hours of receipt (or the next business day of a depository institution if it's closed on the day of eipt) and a Consent to Examine and Audit Trust Account (Form #814) must be completed by you and the depository

institution and submitted to the department within 10 days after opening the account.

IF YOU WANT FORM #814 SENT WITH YOUR LICENSE, ENTER YOUR INITIALS:

DATE

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Taurie Bourer

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de: STATEIDNO: WT00754734

FURPOSE CODE: E

ATTENTION: MALY

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RECORD RELLASE DATE 07/03/98

HIS IDENTIFICATION RECORD SUMMARIZES INFORMATION SENT .O CIB BY FINGERPRINT ON LIBUTORS IN WISCONSIN. IF FURTHER INFORMATION ON A CHARGE OR DISPOSITION 7 DESIRED, CONTACT THE AGENCY THAT FURNISHED THE INFORMATION. IF FINGERPRINTS $ec{v}$ D NOT ACCOMPANY YOUR REQUEST, THE CIB CANNOT GUARANTEE THIS RECORD RELATES THE PERSON IN WHOM YOU HAVE AN INTEREST. THE USE AND DISSEMINATION OF THIS WOORD IS REGULATED BY STATE LAW.

WISCONSIN STATE IDENTIFICATION NUMBER WI 754734

SEX: F RACE: W DATE OF BIRTH: 08/07/66 TAME: BOWERS/LAURIE/ANN

FINGERPRINT CLASSIFICATION: UNAVAILABLE

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WIO 130 15Z

HRI 42888 80 07/03/98 10:02 02 02 03

RREST # DATE 1 12/26/97

CONTRIBUTOR

MARQUETTE CO SHE

OR I

WI0390000

CASE #

ARRESTING AGENCY

CASE # B970161 MARQUETTE CO SHE

ORI WI0390000

NAME USED

BOWERS/LAURIE/ANN

DATE OF BIRTH USED PHOTO: LOCAL/CIB 08707766

NO YES

COUNTS: 1

CHARGE#: 1 TRANSACTION TYPE: ADULT ARREST LITERAL: FRAUDULENT WRITINGS

CITATION: 943.39

NCIC CODE: 2699

NATURE:FELONY

DISPOSITION: NOT RECEIVED

CHARGE#: 2 TRANSACTION TYPE: ADULT ARREST

COUNTS: 1

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ATTENTION: MALY

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CORD LAST UPDATED 01/29/98

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**** AUTOMATED RECORD-FQ PROVIDES ONLINE RESPONSE ************************



State of Wisconsin \ DEPARTMENT OF REGULATION & LICENSING



Tommy G Thompson Governor

July 31, 1998

Marlene A Cummings Secretary

1400 E. WASHINGTON AVENUE P O. BOX 8935 MADISON. WISCONSIN 53708-8935 E-Mail: dorl@mail.state wi us (608) 266-2112 FAX#: (608) 267-0644

LAURIE A BOWERS PO BOX 231 WESTFIELD WI 53964

Re: APPLICATION FOR REAL ESTATE SALESPERSON'S LICENSE; NOTICE OF DENIAL

Dear Ms. Bowers:

PLEASE TAKE NOTICE that the State of Wisconsin, Department of Regulation and Licensing (department), has reviewed your application for a real estate salesperson's license and denies the application for the following reasons:

- 1. On the real estate salesperson's application you answered "YES" to the following question:
 - A. Have you ever been convicted of a misdemeanor or a felony, or driving while intoxicated (DWI), in this or any other state, OR are criminal charges or DWI charges currently pending against you? If YES, complete and attach Form #2252.
- 2. You completed Form #2252 indicating that you had criminal charges of theft, fraudulent writings and forgery pending.
- According to information received from Attorney Len Kachinsky, you entered a
 plea of no contest to one count of felony theft on July 1, 1998. The other charges
 were dismissed and read in. A pre-sentence investigation was ordered. A
 Judgment of Conviction will not be available until after sentencing on September
 30, 1998.

The legal basis for this decision is:

1. Section 452.03, Stats., states:

Brokers and salespersons licensed. No person may engage in or follow the business or occupation of, or advertise or hold himself or herself out as, or act

temporarily or otherwise as a broker or salesperson without a license. Licenses shall be granted only to persons who are competent to transact such businesses in a manner which safeguards the interests of the public, and only after satisfactory proof of the persons competence has been presented to the department.

2. Section 452.09(1)(e), Stats., states:

Application for license, contents. (1) Form of application. Any person desiring to act as a broker or salesperson shall submit to the department an application for a license. The application shall be in such form as the department prescribes and shall include the following:

(e) Any other information which the department may reasonably require to enable it to determine the competence of each applicant, including each member of the partnership, or each officer of the corporation, to transact the business of a broker or salesperson in a manner which safeguards the interest of the public.

3. Section 111.335(1)(b), Stats., states:

Notwithstanding s. 111.322, it is not employment discrimination because of arrest record to refuse to employ or license, or to suspend from employment or licensing, any individual who is subject to a pending criminal charge if the circumstances of the charge substantially relate to the circumstances of the particular job or licensed activity.

4. Section 111.335(1)(c)1., Stats., states:

Notwithstanding s. 111.322, it is not employment discrimination because of conviction record to refuse to employ or license, or to bar or terminate from employment or licensing, any individual who...(h)as been convicted of any felony, misdemeanor or other offense the circumstances of which substantially relate to the circumstances of the particular job or licensed activity.

CLETUS J. HANSEN, DÍVISION ADMINISTRATOR DIVISION OF BUSINESS LICENSURE AND REGULATION

NOTICE OF RIGHT TO HEARING ON CREDENTIAL DENIAL

PLEASE NOTE that you have a right to a hearing on the denial of your application if you file a request for hearing in accordance with the provisions of Ch. RL 1 of the Wisconsin Administrative Code. You may request a hearing within 45 calendar days after the mailing of this notice of denial. Your request must be submitted in writing to the Department of Regulation and Licensing at:

Department of Regulation and Licensing Bureau of Direct Licensing and Real Estate 1400 East Washington Avenue PO Box 8935 Madison, WI 53708-8935

The request must contain your name and address, the type of credential for which you have applied, a specific description of the mistake in fact or law that you assert was made in the denial of your credential, and a concise statement of the essential facts which you intend to prove at the hearing. You will be notifed in writing of the department's decision. Under s. RL 1.08 of the Wisconsin Administrative Code, a request for a hearing is denied if a response to a request for a hearing is not issued within 45 days of its receipt by the department. Time periods for a petition for review begin to run 45 days after the department has received a request for a hearing and has not responded.

NOTICE OF RIGHT TO WITHDRAW REQUEST FOR HEARING

A request for hearing may be withdrawn at any time. Upon the filing of a request for withdrawal, the credentialing authority shall issue an order affirming the withdrawal of a request for hearing on the denial. Such a withdrawal shall be with prejudice unless otherwise expressly stated in the order.

If you have any questions concerning this matter, please contact Marlene Maly at 608-266-5511 Ext. 32.

STATE OF WISCONSIN DEPARTMENT OF REGULATION AND LICENSING

Real Estate Sa	of The Application For alesperson's License For	: :
LAUR	IE A. BOWERS, Applicant	: AFFIDAVIT OF MAILING :
	E OF WISCONSIN)) ITY OF DANE)	
	lene M. Maly, having been on my personal knowledge	duly sworn on oath, state the following to be true and:
1.	I am employed by the Wi	sconsin Department of Regulation and Licensing.
2.	above-described documer above-named applicant ar	I served the annexed Notice of by enclosing a true and accurate copy of the at in an envelope properly stamped and addressed to the ad placing the envelope in the State of Wisconsin by the United States Post Office by first class mail.
3.		ling the Decision is the address that appears in the t as the applicant's last-known address and is:
	PO BOX 231 WESTFIELD WI 53964	Marlene M. Maly Department of Regulation and Licensing
this 31 s	d sworn to before me day of July, 1998 ne Peters , State of Wisconsin ion Expires	WINTE OF WISCON
		William Popularies

August 13, 1998

Department of Regulation and Licensing Bureau of Direct Licensing and Real Estate 1400 East Washington Avenue PO Box 8935 Madison, WI 53708-8935

RE: Notice OF Right To Hearing on Credential Denial

I would like to request a hearing on the denial of my application for a Real Estate Salesperson License. I am requesting a limited or restricted license; the denial letter did not state that the above was denied.

The broker, Gregory Slayton, whom wishes to employ me for Wisconsin Lakes Realty will provide a letter or personal appearance at the hearing on my behalf. The request would ask that I be allowed to receive ad calls and discuss the property with the customers and set up appointments. We would also like to ask that I be allowed to take appointments on showings. Mr. Slayton would handle the paperwork and the collecting and receiving of any and all funds from the sales that would incur from my appointments.

Thank you for your consideration in this matter.

auril Bourers

Sincerely,

Laurie Bowers P.O. Box 231

Westfield, WI 53964

STATE OF WISCONSIN BEFORE THE DEPARTMENT OF REGULATION AND LICENSING

In the Matter of the Application for a Real Estate Salesperson's License

Laurie A. Bowers,

AFFIDAVIT OF MAILING

<u> </u>	

- I, Kate Rotenberg, having been duly sworn on oath, state the following to be true and correct based on my personal knowledge:
 - 1. I am employed by the Wisconsin Department of Regulation and Licensing.
- 2. On August 25, 1998, I served the Notice of Denial of Applicant's Request for Hearing and Order dated August 19, 1998, LS9808193REB, upon the Applicant Laurie A. Bowers by enclosing a true and accurate copy of the above-described document in an envelope properly stamped and addressed to the above-named Applicant and placing the envelope in the State of Wisconsin mail system to be mailed by the United States Post Office by certified mail. The certified mail receipt number on the envelope is Z 233 819 715.
- 3. The address used for mailing the Decision is the address that appears in the records of the Department as the Applicant's last-known address and is:

Laurie A. Bowers P.O. Box 231 Westfield WI 53964

Kate Rotenberg

Department of Regulation and Licensing

Office of Legal Counsel

Subscribed and sworn to before me

this 25 4

day of traust , 1

Notary Public, State of Wisconsin My commission is permanent.

NOTICE OF RIGHTS OF APPEAL

TO: LAURIE A BOWERS

You have been issued an Order. For purposes of service the date of mailing of this Order is 8/25/98. Your rights to request a rehearing and/or judicial review are summarized below and set forth fully in the statutes reprinted on the reverse side.

A. REHEARING

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in section 227.49 of the Wisconsin Statutes. The 20 day period commences on the day of personal service or the date of mailing of this decision. The date of mailing of this Order is shown above.

A petition for rehearing should name as respondent and be filed with the party identified below.

A petition for rehearing shall specify in detail the grounds for relief sought and supporting authorities. Rehearing will be granted only on the basis of some material error of law, material error of fact, or new evidence sufficiently strong to reverse or modify the Order which could not have been previously discovered by due diligence. The agency may order a rehearing or enter an order disposing of the petition without a hearing. If the agency does not enter an order disposing of the petition within 30 days of the filing of the petition, the petition shall be deemed to have been denied at the end of the 30 day period.

A petition for rehearing is not a prerequisite for judicial review.

B. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in section 227.53, Wisconsin Statutes (copy on reverse side). The petition for judicial review must be filed in circuit court where the petitioner resides, except if the petitioner is a non-resident of the state, the proceedings shall be in the circuit court for Dane County. The petition should name as the respondent the Department, Board, Examining Board, or Affiliated Credentialing Board which issued the Order. A copy of the petition for judicial review must also be served upon the respondent at the address listed below.

A petition for judicial review must be served personally or by certified mail on the respondent and filed with the court within 30 days after service of the Order if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing. Courts have held that the right to judicial review of administrative agency decisions is dependent upon strict compliance with the requirements of sec. 227.53 (1) (a), Stats. This statute requires, among other things, that a petition for review be served upon the agency and be filed with the clerk of the circuit court within the applicable thirty day period.

The 30 day period for serving and filing a petition for judicial review commences on the day after personal service or mailing of the Order by the agency, or, if a petition for rehearing has been timely filed, the day after personal service or mailing of a final decision or disposition by the agency of the petition for rehearing, or the day after the final disposition by operation of the law of a petition for rehearing. The date of mailing of this Order is shown above.

The petition shall state the nature of the petitioner's interest, the facts showing that the petitioner is a person aggrieved by the decision, and the grounds specified in section 227.57, Wisconsin Statutes, upon which the petitioner contends that the decision should be reversed or modified. The petition shall be entitled in the name of the person serving it as Petitioner and the Respondent as described below.

SERVE PETITION FOR REHEARING OR JUDICIAL REVIEW ON:

STATE OF WISCONSIN DEPARTMENT OF REGULATION AND LICENSING
1400 East Washington Avenue
P.O. Box 8935
Madison WI 53708-8935